



Receipt #3

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail on 1/3/02 in an envelope addressed to: Assistant Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, D.C. 20231

Date 1/3/02 Sig: Marjorie Scariati  
Name: Marjorie Scariati

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

APPLICANT(S): Fwu-Iuan Hshieh et al.

SERIAL NO.: 10/010,162

FILING DATE: 11/20/01

TITLE: METHOD OF FORMING NARROW TRENCHES IN SEMICONDUCTOR SUBSTRATES

ART UNIT: 2812

DOCKET: GS 149

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

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MAR 14 2002  
TECHNOLOGY CENTER 2800

**LETTER REQUESTING CORRECTION TO FILING RECEIPT**

This is in response to the filing receipt dated 01/10/2002.

Please make the following correction to the FILING RECEIPT:

Correct the filing date to November 20, 2001.

This correction is marked in red on the attached filing receipt copy.


Applicant's attorney attempted to file this application via Express Mail on November 20, 2001, however, on that date the United States Postal Service (USPS) refused to accept

the deposit of mail for delivery by Express Mail service. Per the instructions provided by the U.S. PTO website at [www.uspto.gov/september11/uspsmaildisrup.htm](http://www.uspto.gov/september11/uspsmaildisrup.htm), the application was re-deposited with the USPS on November 20, 2001 as first class mail, and was mailed to the Assistant Commissioner for Patents at the special "Box Filing Date" address. Per the PTO's instructions, a statement signed and dated by the person who originally attempted to deposit the correspondence with the USPS as Express Mail was included, explaining the above situation. A copy of said statement is enclosed for reference.

As the US PTO has designated this interruption in the Express Mail service of the USPS as a postal service interruption within the meaning of 35 U.S.C. 21(a) and 37 CFR 1.6(e), and the special emergency procedures provided by the US PTO were correctly followed, applicant's attorney submits that this application is entitled to the November 20, 2001 filing date.

It is respectfully requested that a corrected filing receipt be promptly issued that accords this application a filing date of November 20, 2001.

Respectfully submitted,

  
Karin L. Williams      Reg. No. 36,721  
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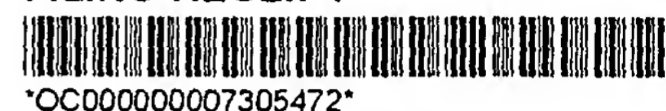
UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENT AND TRADEMARK OFFICE  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20503  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLA
10/010,162	12/10/2001 11/30/2001	2812	758	GS 149	6	21	2

CONFIRMATION NO. 7

FILING RECEIPT



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Date Mailed: 01/14/20

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

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Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 01/14/2002

Projected Publication Date: 06/12/2003

Non-Publication Request: No

Early Publication Request: No

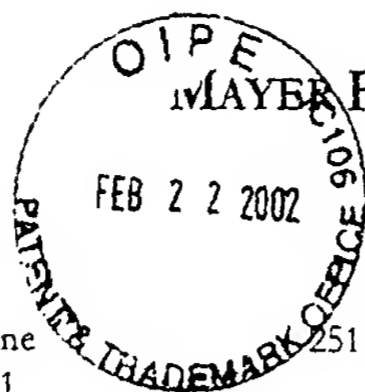
## Title

Method of forming narrow trenches in semiconductor substrates

Preliminary Class

438

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**MAYER FORTKORT & WILLIAMS PC**

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November 20, 2001

Assistant Commissioner for Patents  
Box Filing Date  
Washington, DC 20231

**COPY OF PAPERS  
ORIGINALLY FILED**

Dear Sir:

Re: New U.S. Patent Application  
Inventor: Fwu-Iuan Hshieh et al.  
Filed: Herewith  
Title: METHOD OF FORMING NARROW TRENCHES IN  
SEMICONDUCTOR SUBSTRATES  
Docket: GS 149

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**MAR 14 2002**  
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**COPY**

I hereby declare that I attempted to deposit the above-referenced new U.S. Patent Application with the United States Postal Service (USPS, Westfield, New Jersey) as Express Mail under 37 CFR 1.10 on November 20, 2001, and the USPS refused to accept this correspondence due to the recent emergency.

Per the instructions provided by the US PTO website ([www.uspto.gov/september11/uspsmaildisrup.htm](http://www.uspto.gov/september11/uspsmaildisrup.htm)), the above-referenced application has been re-deposited with the USPS on November 20, 2001 as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, BOX FILING DATE, Washington, DC 20231.

A November 20, 2001 filing date is respectfully requested.

Yours truly,  
MAYER FORTKORT & WILLIAMS, PC

*Marjorie Scariati*  
Marjorie Scariati  
Patent Paralegal

Enclosure